"Let Me Just Interrupt You": Estimating Gender Effects in Supreme Court Oral Arguments

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Outline: Three-part talk

- 1. Introduction & Background
- 2. Causal Formalisms & Research Design
- 3. Findings & Corroboration

I'll pause for questions after each part.

Through natural language we, as humans, ...

Transmit and gather information



Source: ChatGPT-40

Facilitate collaboration



Source: Getty images

Judge a person's intelligence



Source: Indiana Jones fandom

Reinforce social norms and hierarchies



Source: Shutterstock

Different NLP paradigms, overlapping methods

Downstream-centered



Building computational systems which involve language (engineering)

*Human language technologies Corpus-centered



Language as the object of study (science)

*Text-as-data *Computational social science *Data Science

Text-as-data prototypical pipeline

Raw text

Lorem ipsum dolor sit amet, consectetuer adipiscing elit. Maecenas portitior congue massa. Fusce posuere, magna sed pulvinar ultricies, purus lectus malesuada libero, sit amet commodo magna eros quis urna. Nunc viverra imperdiet enim. Fusce est. Vivamus a tellus. Pellentesque habitant morbi tristique senectus et netus et malesuada fames ac turpis egestas. Proin pharetra nonummy pede. Mauris et orci. Aenean nec lorem. In portitior. Donec laoreet nonummy augue. Suspendisse dui purus, scelerisque at, vulputate vitae, pretium mattis, nunc. Mauris eget neque at sem venenatis eleifend. Ut nonummy. Fusce aliquet pede non pede. Suspendisse dapibus lorem pellentesque magna. Integer nulla. Donec blandit feugiat ligula. Donec hendrerit, felis et imperdiet euismod, purus ipsum pretium metus, in lacinia nulla nile get sapien.

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Quisque ornare placerat risus. Ut molestie magna at mi. Integer aliquet mauris et nibh. Ut mattis ligula posuere velit. Nunc sagittis. Curabitur varius fringilla nisl. Duis pretium mi euismod erat. Maecenas id augue. Nam vulputate. Duis a quam non neque lobortis malesuada. Praesent euismod. Donec nulla augue, venenatis scelerisque, dapibus a, consequat at, leo. Pellentesque libero lectus, tristique ac, consectetuer sit amet, imperdiet ut, justo. Sed aliquam odio vitae tortor. Proin hendrerit tempus arcu. In hac habitasse platea dictumst. Suspendisse potenti. Vivamus vitae massa adipiscing est lacinia sodales. Donec mutus massa, mollis vel,

Social Construct Measurement



 Y_i

Downstream Inference

Prevalence



Association $X \sim Y$

Causal Estimation

E[Y|do(X=x)]

+ Uncertainty (Confidence intervals)

My text-as-data work



Today's focus



Keywords: U.S. Supreme Court; Oral Argument; Gender Bias; Causal Inference; Text-as-Data

* All data and materials necessary to replicate the results reported in this article are

available at https://github.com/kakeith/interruptions-supreme-court

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Department of Political Science

Light on the NLP methods, but opens avenues for some interesting future NLP work

"Design trumps analysis" in observational causal studies

-Rubin (2008)

(Forthcoming) Journal of Law & Courts

Background: U.S. Supreme Court justices appointed for life



Background: Recent U.S. Supreme Court cases

In 6-to-3 Ruling, Supreme Court Ends Nearly 50 Years of Abortion Rights

The decision will lead to all but total bans on the procedure in about half of the states.

🛱 Share full article 🔗 🗍



Abortion rights protesters demonstrating in front of the Supreme Court after the decision was released on Friday. Haiyun Jiang/The New York Times



Published June 24, 2022 Updated Nov. 2, 2022

Leer en español

WASHINGTON — The Supreme Court on Friday <u>overturned Roe v.</u> <u>Wade</u>, eliminating the constitutional right to abortion after almost 50 years in a decision that will transform American life, reshape

Dobbs v. Jackson June 24, 2022 6-3 vote

Supreme Court Rejects Affirmative Action Programs at Harvard and U.N.C.

In earlier decisions, the court had endorsed taking account of race as one factor among many to promote educational diversity.

H Share full article



Demonstrators in favor of affirmative action in Washington on Thursday. Kenny Holston/The New York Times



The Supreme Court on Thursday <u>rejected affirmative action</u> at <u>colleges</u> and <u>universities around the nation</u>, declaring that the race-conscious admissions programs at Harvard and the University of North Carolina were unlawful and sharply curtailing a policy that had long been a pillar of higher education.

Students v. Harvard June 29, 2023 6-2 vote

Here's What the Court's Chevron Ruling Could Mean in Everyday Terms

The decision is expected to prompt a rush of litigation challenging regulations across the entire federal government, from food safety to the environment.

Share full article



The Environmental Protection Agency building in Washington. Stefani Reynolds for The New York Times

By Coral Davenport, Christina Jewett, Alan Rappeport, Margot Sanger-Katz, Noam Scheiber and Noah Weiland June 28, 2024

The Supreme Court's decision on Friday to limit the broad regulatory authority of federal agencies could lead to the elimination or weakening of thousands of rules on the environment, health care, worker protection, food and drug safety, telecommunications, the financial sector and more.

Loper v. Raimondo June 28, 2024 6-2 vote

Oral argument influences court outcomes



- Influences the information justices have (Johnson 2001, 2004)
- Influences the issues discussed in the decisions (Black, Johnson and Weeding 2012)
- Influences the justices' votes (Johnson, Wahlbeck, and Spriggs 2006)

"Advocates" are the lawyers arguing either side of the case. For example, Solicitor General of the DOJ



General Prelogar: While I certainly acknowledge, Justice Alito, that an injunction that would bind state court judges is extremely rare, it's not unheard of, and I think, in the unprecedented facts of this case, it's appropriate relief. And —

Justice Alito: Well, judges have been enjoined —

General Prelogar: —and the reason for that is—

Justice Alito: —let me just interrupt you —judges have been enjoined from performing unlawful acts.

Research question and findings (in plain English)

Do justices interrupt female advocates more simply because they are women?

Our Finding: They do!

Why study gender bias in judges interrupting advocates?

- Allocational harms: Women less opportunity to speak, cases they argue may be disproportionately affected
- **Representationl harms:** Barocas et al. argue representational harms should be treated as harms in their own right
 - Interruptions assert dominance (Zimmermann and West 1996)
 - Interruptions reinforce status (Mendelberg et al., 2014)
 - Gender disparities on display on a very public and high-stakes stage

Additionally amplified given that **disproportionately few women** are advocates



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- Style: Women just speak "differently"
- Experience: Female advocates less experience
- *Heatedness:* Interruption-heavy part of the arguments

Our Finding: Gender effects have greater magnitude

Previous work on U.S. Supreme Court oral arguments

Authors	Dependent Variable(s)	Model(s)	Justice-level?
Jacobi and Schweers (2017)	Justice \rightarrow Justice Interruptions	Many	None
Patton and Smith (2017)	Advocate Length of First Speech; Aver- age Length of Speech	Truncated Poisson	None
Lindom, Gregory and John- son (2017)	$\begin{array}{llllllllllllllllllllllllllllllllllll$	OLS and To- bit	None
Feldman and Gill (2019)	Justice \rightarrow Justice Interruptions	Logit	None
Jacobi and Sag (2019)	Justice \rightarrow Advocate Interruption Count Per Case	OLS	None
Patton and Smith (2020)	Proportion of Words by Justices	Justice match for Tobit	Partial
Hack and Jenkins (2022)	Petitioner Win	Logit	None
Gleason and Smart (2022)	Justice Vote	Logit	None
This work	$\begin{array}{llllllllllllllllllllllllllllllllllll$	Non- parametric	Yes

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Causal question!

Causal experiments on identity-based bias

- Experiments are the "gold standard" in causal inference because they directly address confounding
- I'll show you three different experiments in three different "domains"
- All follow roughly this causal DAG



Causal experiments on identity-based bias, #1

Domain: help-wanted ads in Boston and Chicago newspapers (Bertrand & Mullainathan. AER, 2004)

Intervene: Race-aligned and gender-aligned written names Intervene: Resume content

	Percent callback for White names	Percent callback for A frican-American names	Ratio	Percent difference (<i>p</i> -value)
Samula			Tutto	(p value)
Sample:	0.65	6.45	1.50	2.00
All sent resumes	9.65	6.45	1.50	3.20
	[2,435]	[2,435]		(0.0000)
Chicago	8.06	5.40	1.49	2.66
-	[1,352]	[1,352]		(0.0057)
Boston	11.63	7.76	1.50	4.05
	[1,083]	[1,083]		(0.0023)
Females	9.89	6.63	1.49	3.26
	[1,860]	[1,886]		(0.0003)
Females in administrative jobs	10.46	6.55	1.60	3.91
	[1,358]	[1,359]		(0.0003)
Females in sales jobs	8.37	6.83	1.22	1.54
0	[502]	[527]		(0.3523)
Males	8.87	5.83	1.52	3.04
	[575]	[549]		(0.0513)

Finding: Whitealigned names receive 50% more callbacks

Causal experiments on identity-based bias, #2

- Domain: Entrepreneurial pitches (Brooks et al. PNAS, 2014)
- Intervention: Dubbed in male or female voice
- Intervention: Different pictures of the (fake) speaker at the start of the pitch



Fig. 2. The effect of entrepreneur gender and physical attractiveness on ratings of investment likelihood in an experimental setting (n = 520). ns, not significant.

Finding: Attractive men judged as more likable of investment.

More attractive women judged as *less likely* of investment

Causal experiments on identity-based bias, #3

- Domain: Social media, Twitter (Munger, 2017)
- Examining responding to racial slurs
- Intervention: The race of the profile avatar and the number of follower counts





Intervention from the white avatar with a high follower count reduced slur use the most Research question and findings (in plain English)

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Causal question!

... but we can't run experiments ...

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Causal question!

... but we can't run experiments ...

Potential outcomes

- "Neyman-Rubin potential outcomes" is one (of a few) causal inference formalisms (Neyman, 1923; Rubin, 1974; Holland, 1988)
- Notation:

Counterfactual outcome if the individual received the "control"

Y(0)

Counterfactual outcome if the individual received the "treatment"

Y(1)

- "Fundamental problem of causal inference" is that it is typically impossible to have *both* individual counterfactuals (Holland, 1986).
 - Thus, we shift to estimands that are averages over target populations.
- Causal inference formalisms very useful for observational (nonexperiment) causal questions:
 - Does smoking cause lung cancer?
 - Do more firearms in homes cause more firearms deaths?

Lundberg et al.'s Quantitative Framework

- Recommend stating **theoretical estimand** (the target quantity) outside of any statistical model
- Framework:



• Use **Causal DAGs** because they are **non-parametric** and delay choices on statistical "functional form", e.g., "linear"

Causal Directed Acyclic Graphs (Causal DAGs)

In a causal DAG, nodes are causal variables and edges denote direct causal relationships (Pearl 2000)



Aside: Causal DAGs help clarify misleading conclusions!

Collider bias: Conditioning on the collider creates a spurious association between the two original variables



Lundberg et al.'s examples of misleading social science conclusions due to collider bias



Research question and findings (in plain English)

Do justices interrupt female advocates more simply because they are women?

Our Finding: They do!

Causal question!

... but we can't run experiments ...

... so we use potential outcomes, causal DAGs, Lundberg's framework, and very careful research design...

Lundberg framework applied to our Supreme Court questions

2. Specify unitspecific quantity For a specific justice (j) in a specific "chunk" of an oral argument (i), how would the interruption rate (Y) change if the advocate in that "chunk" (T) had their gender signal changed from male (M) to female (F)?

$Y_{i|j}(T_i = F) - Y_{i|j}(T_i = M)$

Unit of analysis is a two-speaker discourse "chunk"

We define a **valid chunk** as:

- Four or more contiguous utterances
- With exactly two speakers—a single justice and a single advocate
- Where the advocate makes the first utterance
- Each speaker has two or more utterances

Greedy algorithm to segment

Valid chunk



General Prelogar: While I certainly acknowledge, Justice Alito, that an injunction that would bind state court judges is extremely rare, it's not unheard of, and I think, in the unprecedented facts of this case, it's appropriate relief. And —

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Justice Alito: —let me just interrupt you —judges have been enjoined from performing unlawful acts.

Conceptualization versus operationalization

- **Conceptualization:** How does one define a variable theoretically?
- **Operationalization:** Given the theoretical concept, how does one measure the variable from data in practice?

Conceptualization of "gender" as a causal variable

What does it mean theoretically to "intervene" on "gender"?

	Conceptualization	Critique
1	Gender	Social, institutional, and cultural forces shape gender and gender perceptions (Deaux, 1985; West and Zimmerman, 1987),
2	Biological sex assigned at birth	Assigned at birth is an "immutable characteristic" and "no causation without manipulation" (Berk et al. 2005; Holland, 2008)
3	Perceived gender	Researchers cannot actually manipulate the internal psychological state of decision-makers (Hu and Kohler-Hausmann, 2020)
4	Gender signal	Gender is made of many constituent components (Sen and Wash, 2016)
5	Gender signal as defined by (hypothetical) manipulations of the advocate's clothes, hair, name, and voice pitch	* Our choice *
6	Gender signal by setting their physical appearance, facial features, name, and voice pitch to specific values (e.g. all facial features set to that of the same 40-year-old, white female and clothes set to a black blazer and pants).	Causal inference requires "sufficiently well-defined interventions" but "sufficiently" is subjective and can be taken too far and lose generalizability (Hernan, 2016)

Two-stage deterministic algorithm

- **First stage:** Measure "gender signal" by the gendered title used by the Chief Justice to introduce the advocate, e.g., Mr. or Ms.
 - We use "binary gender" (even though there are more than two genders) because the Court never uses an explicitly non-binary gender title like 'Mx.'
- **Second Stage:** (only 0.75% of advocates) Look-up advocate first name in the World Gender Name Dictionary (Raffo and Lax-Martinez 2018), compiled via government admin data

99.8% of advocates assigned to a gender

Intuition: If an advocate is attempting to say 1,000 words during an oral argument, how many interruptions from a justice would the advocate endure (on average) by the time they got to 1,000 words?

 $Y_{i|j} = \frac{\text{number of advocate utterances interrupted by justice } j \text{ in chunk } i}{(\text{number of advocate tokens in chunk } i)/1000}$

Interruptions come deterministically from markers in the manually-transcribed transcripts (largely double-dashes)

Lundberg framework applied to our Supreme Court questions

2. Specify unitspecific quantity For a specific justice (j) in a specific "chunk" of an oral argument (i), how would the interruption rate (Y) change if the advocate in that "chunk" (T) had their gender signal changed from male (M) to female (F)?

$$Y_{i|j}(T_i = F) - Y_{i|j}(T_i = M)$$

3. Define target population

Over whom or what do we aggregate [the] unit-specific quantity?

- Justices with >1000 chunks
- Target population: All advocates a justice had (or would potentially) encounter

Research question and findings (in plain English)

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Common counterarguments:

- Ideological alignment: Female advocates are typically on more "liberal" cases and justices interrupt those they disagree with
- Style: Women just speak "differently"
- Experience: Female advocates just have less experience
- Heatedness: Interruption-heavy part of the arguments

Our Finding: The gender effects dwarfs these other effects

Operationalization of "ideological alignment"

Binarized ideology: "liberal" or "conservative"

$$A_i = \begin{cases} 1 & \text{if advocate } a_i \text{ and justice } j_i\text{'s ideological preferences match} \\ 0 & \text{otherwise} \end{cases}$$

Advocates:

Supreme Court Database's manual coding of ideological direction of court decision and whether the advocate's side won

Judges:

Binarized average of time-varying Martin-Quinn score

Example



General Prelogar: [...] in the unprecedented facts of this case, it's appropriate relief. And —

Justice Alito: Well, judges have been enjoined —

[...]



Justice ideology scores from Martin & Quinn (2002)

Dynamic Item Response Model for a Uni-dimensional Issue Space



"Ideal point" (inference goal)

Assume "random walk" for each justice

$$\theta_{t,j} \sim \mathcal{N}(\theta_{t-1,j}, \Delta_{\theta_{t,j}})$$

Estimation of posterior via Gibbs sampling

 $P(\alpha, \beta, \theta | \mathbf{V})$



Subset from Figure 1, Martin & Quinn, 2002

Causal identification assumptions

4. List identification assumptions

- Causal inference typically relies on **assumptions** that cannot be empirically tested.
- A powerful aspect of causal inference formalisms is that one makes all assumptions explicit before moving onto causal estimation.

- 1. **Markov assumption for conversational chunks:** Conversational dynamics between a justice and an advocate in one chunk do not influence the conversational dynamics in a subsequent chunk. (Very strong but necessary assumption!)
- 2. No unmeasured confounding or mediating variables.

Per-justice differences in means

$$\theta_{\text{Gender}}^{j} := \left(\frac{1}{n_{j,T_{i}=F}} \sum_{i:j_{i}=j,\mathbf{T_{i}}=\mathbf{F}} Y_{i|j}\right) - \left(\frac{1}{n_{j,T_{i}=M}} \sum_{i:j_{i}=j,\mathbf{T_{i}}=\mathbf{M}} Y_{i|j}\right)$$

$$\theta_{\text{Ideological Alignment}}^{j} := \left(\frac{1}{n_{j,A_{i}=1}} \sum_{i:j_{i}=j,\mathbf{A_{i}}=1} Y_{i|j}\right) - \left(\frac{1}{n_{j,A_{i}=0}} \sum_{i:j_{i}=j,\mathbf{A_{i}}=0} Y_{i|j}\right)$$

Data sources: ConvoKit, Oyez, Supreme Court Data Base

Corpus Details		
Years		1982-2019
Cases		$3,\!424$
Unique advocates		4,025
Unique female advocates		551
Chunk Dataila	All Data	Valid Chunka
Chunk Details	All Data	Valid Chunks
Chunk Details Count	All Data	Valid Chunks 64,164
Chunk Details Count Number of Tokens	All Data - 37,880,545	Valid Chunks 64,164 23,065,962
Chunk Details Count Number of Tokens Number of Utterances	All Data 	Valid Chunks 64,164 23,065,962 508,189
Chunk Details Count Number of Tokens Number of Utterances Prop. Advocate Utterances Interrupted	All Data 	Valid Chunks 64,164 23,065,962 508,189 0.21
Chunk Details Count Number of Tokens Number of Utterances Prop. Advocate Utterances Interrupted Median Num. Tokens Per Chunk	All Data 	Valid Chunks 64,164 23,065,962 508,189 0.21 296



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Main findings



Positive values: Interrupt women more

Negative values: Ideologies do not align

Main takeaway: The magnitude of gendered interruption disparities is over **3** times of that in differences in interruptions due to ideological-alignment









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Do justices interrupt female advocates more simply *because* they are women?

Finding: They do!

Common counterarguments:

- Ideological alignment: Female advocates are typically on more "liberal" cases and justices interrupt those they disagree with
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Finding: The gender effects dwarfs these other effects

Detail: Removed chunks involving gender issues

- Confounding variable: Substantive issue of the case
- Female advocates on cases with "women's issue" likely to be perceived as having "position of authority" (Miller and Sutherland 2022; Patton and Smith 2017)
- Gendered case issues: sex discrimination, abortion, and privacy (manually annotated by Supreme Court Database)
- Valid chunks from cases with gendered issues are 1,591 out of 65,768 (2.4%)

$$\begin{array}{ll} E[Y|C = \text{Other issue}, T = M] & 10.90 \\ E[Y|C = \text{Gender issue}, T = M] & 12.47 \\ E[Y|C = \text{Other issue}, T = F] & 12.35 \\ E[Y|C = \text{Gender issue}, T = F] & 10.82 \end{array}$$

Women interrupted **less on** cases with gendered issues

Table A1 (our paper)

Corroborative Analysis 1: Causal mediation analysis



Corroborative Analysis 1: Causal mediation analysis



Years 2007-2019 (for consistent manual transcripts)

Disfluencies:	All justices (n=17,801)	NDE	NIE
Deterministically			
marked in transcripts	Male justices (n=11,821)	NDE	NIE
Experience: 0 or 1, have argued before	Female justices (n=5,980)	NDE	NIE

Corroborative Analysis 1: Causal mediation analysis



Years 2007-2019 (for consistent manual transcripts)

	All justices (n=17,801)	NDE	NIE
Disfluencies:	Speech disfluencies as mediator Ideological alignment as mediator	$0.73 {\pm} 0.60$ $0.83 {\pm} 0.69$	-0.07 ± 0.29 0.04 ± 0.05
Deterministically	Advocate experience as mediator	$0.68 {\pm} 0.70$	-0.03 ± 0.08
marked in	Male justices (n=11,821)	NDE	NIE
transcripts	Speech disfluencies as mediator Ideological alignment as mediator Advocate experience as mediator	$1.20{\pm}0.78$ $1.36{\pm}0.92$ $1.18{\pm}0.91$	0.12 ± 0.41 0.06 ± 0.08 0.00 ± 0.11
	Female justices (n=5,980)	NDE	NIE
have argued before	Speech disfluencies as mediator Ideological alignment as mediator Advocate experience as mediator	-0.38±0.84 -0.38±0.87 -0.48±0.86	-0.03 ± 0.26 -0.01 ± 0.04 -0.10 ± 0.10

NIE's all very small and CIs all cross zero

Relationship between justice interrupting advocate (x-axis) and advocate interrupting justice (y-axis) **not significant**



Figure A1 (our paper)

Corroborative Analysis 3: Remove "Backchannel cues"

- Phrasal backchannel cues (e.g., right, yes, uh-huh, go on) are a form of conversational maintenance (Gravano and Hirschberg 2009) and interruptions via backchannel cues may be substantively different
- Discard utterances with any of 18 phrasal **backchannel cues**
- Re-run chunking greedy algorithm
- Results:

Justices	$ heta_{ m Gender}$	$ heta_{ m Ideological \ Alignment}$	$\frac{\theta_{\rm Gender}}{\theta_{\rm Ideological \; Alignment}}$
All	$0.89{\pm}0.36$	-0.25 ± 0.23	3.59
Male	$1.06{\pm}0.43$	-0.20 ± 0.26	5.34
Female	$0.43{\pm}0.71$	-0.39 ± 0.45	1.12

Table A3: *Effects on advocate interruption rate, aggregated by justice gender* including utterances with back channel cues (same as in main paper).

Justices	$ heta_{ m Gender}$	$ heta_{ m Ideological \ Alignment}$	$rac{ heta_{ ext{Gender}}}{ heta_{ ext{Ideological Alignment}}}$
All	$0.96{\pm}0.36$	-0.24 ± 0.23	3.99
Male	$1.12{\pm}0.43$	-0.21 ± 0.26	5.22
Female	$0.54{\pm}0.68$	-0.32 ± 0.46	1.70

Table A4: *Effects on advocate interruption rate, aggregated by justice gender* excluding utterances with back channel cues.

Very similar magnitudes of effects Research question and findings (in plain English)

Do justices interrupt female advocates more simply because they are women?

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Common counterarguments:

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Our Finding: Gender effects have greater magnitude

Future work

- Classifying "friendly" versus "non-friendly" interruptions
- Fine-grained classification of issues at the chunk or utterance level
- Real-valued ideological inference of justices from both votes (via IRT) and text
- Using conceptual framework for identity-based bias in other settings

https://github.com/kakeith/interruptions-supreme-court

Thanks! Questions?

Do justices interrupt female advocates more simply because they are women?

Finding: They do!